

10-15-01

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for non provisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 163-350
 First Inventor or Application Identifier Mario VISMARA
 Title Impact efficiency ... device
 Express Mail Label No. EL903125579US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Assistant Commissioner for Patent
 Box Patent Application
 Washington, DC 20231

- ☒ * Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
- ☒ Specification [Total Pages 13]
 (preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 4]
- Oath or Declaration [Total Pages]
 - ☒ Newly executed (original or copy)
 - Copy from a prior application (37 C.F.R. § 1.63(d))
 (for continuation/divisional with Box 16 completed)
 - ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

- ☐ Microfiche Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 - ☐ Computer Readable Copy
 - ☐ Paper Copy (identical to computer copy)
 - ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☒ Assignment Papers (cover sheet & document(s))
- ☐ 37 C.F.R. § 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Small Entity Statement(s) Status still proper and desired (PTO/SB-09-12)
- ☒ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Other:

* NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.51), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS REPLIED UPON (37 C.F.R. § 1.52).

16. IF A CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. _____
 Prior application information: Examiner _____ Group / Art Unit: _____
 For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name	James V. Costigan, Esq. HEDMAN & COSTIGAN, P.C.		
Address	1185 Avenue of the Americas Suite 2003		
City	New York	State	NY
Country	U.S.A.	Zip Code	10036-2646
	Telephone	(212) 302-8989	Fax (212) 302-8998

Name (Print/Type)	James V. Costigan, Esq.	Registration No. (Attorney/Agent)	25,669
Signature		Date	10/12/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the content of this form you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

10/12/01

J-868 U.S. PTO

10/12/01

FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
 See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 550.00

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	Mario VISMARA
Examiner Name	--
Group / Art Unit	--
Attorney Docket No.	163-350

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 08-1540

Deposit Account Name Hedman & Costigan, P.C.

- ☒ Charge Any Additional Fee Required
 Under 37 CFR §§ 1.16 and 1.17

2. ☒ Payment Enclosed:

- ☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)		
101 690 201 345				Utility filing fee	370
106 310 206 155				Design filing fee	
107 480 207 240				Plant filing fee	
108 690 208 345				Reissue filing fee	
114 150 214 75				Provisional filing fee	
SUBTOTAL (1) (\$ 370.00					

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent Claims			
7 -20** = 0	X	0	-0-
1 -3** = 0	X	0	-0-
Multiple Dependent		1	140

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity	Fee	Fee	Fee	Fee Description
Code (\$)	Code (\$)	Code (\$)	Code (\$)	
103 18 203 9				Claims in excess of 20
102 78 202 39				Independent claims in excess of 3
104 260 204 130				Multiple dependent claim, if not paid
109 78 209 39				** Reissue independent claims over original patent
110 18 210 9				** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 140.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity	Fee	Fee	Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)	Code (\$)	Code (\$)		
105 130 205 65				Surcharge - late filing fee or oath	
127 50 227 25				Surcharge - late provisional filing fee or cover sheet	
139 130 139 130				Non-English specification	
147 2,520 147 2,520				For filing a request for reexamination	
112 920* 112 920*				Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*				Requesting publication of SIR after Examiner action	
115 110 215 55				Extension for reply within first month	
116 380 216 190				Extension for reply within second month	
117 870 217 435				Extension for reply within third month	
118 1,360 218 680				Extension for reply within fourth month	
128 1,850 228 925				Extension for reply within fifth month	
119 300 219 150				Notice of Appeal	
120 300 220 150				Filing a brief in support of an appeal	
121 260 221 130				Request for oral hearing	
138 1,510 138 1,510				Petition to institute a public use proceeding	
140 110 240 55				Petition to revive - unavoidable	
141 1,210 241 605				Petition to revive - unintentional	
142 1,210 242 605				Utility issue fee (or reissue)	
143 430 243 215				Design issue fee	
144 580 244 290				Plant issue fee	
122 130 122 130				Petitions to the Commissioner	
123 50 123 50				Petitions related to provisional applications	
126 240 126 240				Submission of Information Disclosure Stmt	
581 40 581 40				Recording each patent assignment per property (times number of properties)	40.00
146 690 246 345				Filing a submission after final rejection (37 CFR § 1.129(a))	
149 690 249 345				For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
SUBTOTAL (3) (\$ 40.00					

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type) James V. Costigan	Registration No. (Attorney/Agent) 25,669	Telephone 212-302-8989
Signature	Date 10/12/01	

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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